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PTO/SB/21 (07-06)

Approved for use through 03/31/07. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

15

Application Number

09/966,036

Filing Date

September 28, 2001

First Named Inventor

Dorrie M. Happ

Group Art Unit

1618

Examiner Name

Blessing M. Fubara

Attorney Docket Number

50623.132

ENCLOSURES (check all that apply)

☒ Deposit Account 07-1850
Authorization

☒ Postage Paid Return Postcard

☒ Response to Office Action
(11 pages)

☒ After Final

☐ Affidavits/declaration(s)

☐ Petition for Extension of Time (___
month) (1 page) (in duplicate)

☐ Information Disclosure Statement
with Form PTO-1449 citing ___
References

☒ Express Mail Label No.
EV 8890 11803 US

☒ Certificate of Mailing

☒ Amendment Transmittal Letter
(1 page in duplicate)

☐ Assignment Papers
(for an Application)

☐ Drawing(s) Formal ___ Sheets with
Submission of Formal

☐ Issue Fee Transmittal with PTO-85b
(in duplicate)

☒ Request for Continued Examination
Transmittal (RCE)(1 page in duplicate)

☐ Fee Transmittal Form (in duplicate)

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer (___ page)

☐ Statement of Common Ownership

☐ CD, Number of CD(s) ___

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Request for Status of Application

☐ Other Enclosure(s)
(please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Squire, Sanders & Dempsey L.L.P.
Cameron K. Kerrigan, Reg. No.: 44,826

Signature

Date

March 8, 2007

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:

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Mary M. Padilla

Signature

Date

March 8, 2007

**AMENDMENT TRANSMITTAL LETTER (Large Entity)**

Docket No.

50623.132

Applicant(s): Dorrie M. Happ

Serial No.

09/966,036

Filing Date

September 28, 2001

Examiner

Blessing M. Fubara

Group Art Unit

1618

Invention:

A Medical Device Containing Light-Protected Therapeutic Agent And A Method For Fabricating The Same

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

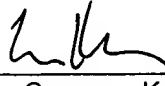
The fee has been calculated and is transmitted as show below.

CLAIMS AS AMENDED

| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|------------|----------------|
| TOTAL CLAIMS | 40 | 41 | 0 | X \$50.00 | \$00.00 |
| INDEP. CLAIMS | 16 | 15 | 1 | X \$200.00 | \$200.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$00.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$200.00 |

- ☐ No additional fee is required for amendment.
- ☒ Please charge Deposit Account No. **07-1850** in the amount of **\$200.00**
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- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.

Dated: March 8, 2007
Squire, Sanders & Dempsey L.L.P.
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Reg. No. 44,326